

PATENT APPLICATION  
Attorney Docket No. 8030-002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor(s):** Richard W. KAY; Gregory B. TEMKIN; Matthew L. OLSON; David J. HENDRICKSON

**Serial No.:** 09/823,218

**Examiner:** Not Yet Assigned

**Filing Date:** 3/29/2001

**Group Art Unit:** 2611

**Title:** DIGITAL CONTENT DELIVERY SYSTEM TRANSACTION ENGINE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

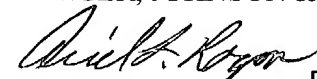
**TRANSMITTAL LETTER**

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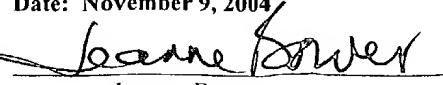
Enclosed for filing in the above-referenced application are the following:

- ☐ Copy of Notice to File Missing Parts
- ☐ Filing Fee
- ☐ Change of Address
- ☐ Applicant claims small entity status (see 37 CFR 1.27)
- ☐ [Publication and] Issue Fee
- ☐ Formal Drawings                      sheet(s)
- ☐ Assignment and Cover Letter
- ☒ Information Disclosure Statement
  - ☒ PTO Form 1449                      ☐ References cited
- ☐ Combined Declaration and Power of Attorney
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Surcharge for Late Filing of Fee or Oath or Declaration
  - ☐ \$65 - Small Entity                      ☐ \$130 - Large Entity
- ☐ In connection with issuance of a patent:
  - ☐ Supplemental Declaration                      ☐ PTO Form 85B
- ☐ PTO Form 2038 authorizing credit card payment for the above-listed fees
- ☐ A check in the amount of \$                      to cover the above-listed fees.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,  
MARGER, JOHNSON & McCOLLOM

  
Ariel S. Rogson  
Reg. No. 43,054

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313  
Date: November 9, 2004  
  
Jeanne Bower



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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☒ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97 (c) together with either a:

☐ Statement under 37 CFR 1.97 (e), or

☐ a \$180 fee under 37 CFR 1.17 (p); or

(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

☐ under 37 CFR 1.97 (d) together with:

☐ Statement under 37 CFR 1.97 (e), and

☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue

fee)

☒ Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

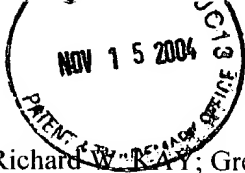
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DIGITAL CONTENT DELIVERY SYSTEM TRANSACTION ENGINE

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Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT****PTO-1449****U.S. PATENT DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
		5,521,631	5/28/96	Budow et al.	348	7
		5,838,314	11/17/98	Neel et al	345	327
		6,009,465	12/28/99	Decker et al.	709	219
		6,134,549	10/17/00	Regnier et al.	707	9
		6,189,008	2/13/01	Easty et al.	707	10

**U.S. PATENT APPLICATIONS**

<u>Exam Init</u>	<u>Ref.</u>	<u>Application Serial Number</u>	<u>Filing Date</u>	<u>Inventor</u>

**FOREIGN PATENT DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country</u>	<u>Name</u>

**OTHER DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_